

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Small Entity)**

Section \$
Docket No.
A-7167

In Re Application Of: Christoph ULLMANN and Volker KRAUSE

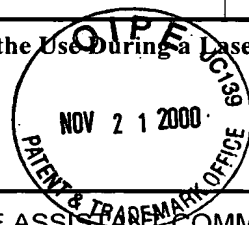
Serial No.
09/641,570

Filing Date
August 18, 2000

Examiner

Group Art Unit

Invention: Optical Arrangement for the Use During a Laser Diode Arrangement as well as Laser Diode Arrangement with such an Optical Arrangement



TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on
November 8, 2000
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☒ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☐ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☒ 1 verified small entity declaration(s)
 - ☒ is/are attached.
 - ☐ was/were filed on _____
- ☐ A separate request for refund.
- ☒ Other (list):

Certified Copy of German 199 39 750.3 (Priority Document)

Docket No.
A-7167

Serial No.
09/641,570

Examiner

Group Art Unit

A circular black ink stamp from the Patent & Trademark Office. The text "PATENT & TRADEMARK OFFICE" is curved along the bottom inner edge. The date "NOV 21 2000" is stamped in the center. The top of the stamp is partially cut off by the page edge.

Attention: Manager, Application Branch

- | | |
|---|----------|
| <input checked="" type="checkbox"/> Utility application filing fee | \$355.00 |
| <input type="checkbox"/> Design application filing fee | |
| <input checked="" type="checkbox"/> Total number of independent claims = 1 | |
| <input checked="" type="checkbox"/> Total number of claims = 41 | \$189.00 |
| <input type="checkbox"/> Multiple dependent claims | |
| <input checked="" type="checkbox"/> Surcharge for late payment of filing fee and/or late filing of original declaration or oath | \$65.00 |
| <input type="checkbox"/> Petition and fee for filing by other than all the inventors or a person not the inventor | |
| <input checked="" type="checkbox"/> Fee for processing an application filed with a non-English language specification | \$130.00 |
| <input type="checkbox"/> Fee for processing and retention of application | |

Total completion of application fees \$739.00

☐ One month ☐ Two months ☐ Three months ☐ Four months ☐ Five months

from: _____ Date _____ until: _____ Date _____

Total time extension fees

Total fees due \$739.00

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533) (Small Entity)**

Docket No.
A-7167

In Re Application Of: **Christoph ULLMANN and Volker KRAUSE**

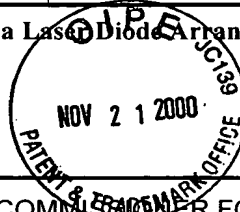
Serial No.
09/641,570

Filing Date
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Examiner

Group Art Unit

Invention: **Optical Arrangement for the Use During a Laser Diode Arrangement as well as Laser Diode Arrangement with such an Optical Arrangement**



TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Attention: Manager, Application Branch

The fee of \$739.00 is to be paid as follows:

- ☒ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 08-2455
A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. 08-2455
A duplicate copy of this sheet is enclosed.

A handwritten signature in black ink, appearing to read "Stewart L. Gitler".

Signature

Dated: 11-21-00

Stewart L. Gitler - Reg. 31,256
Hoffman, Wasson & Gitler, P.C.
2361 Jefferson Davis Highway
Suite 522
Arlington, VA 22202
(703)415-0100

I certify that this document and fee is being deposited on _____ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Correspondence

CC: 20741

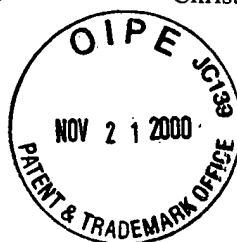


UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/641,570	08/18/2000	Christoph Ullman	A-7167

Stewart L Gitler
Hoffman Wasson & Gitler PC
2361 Jefferson Davis Highway Suite 522
Arlington, VA 22202



FORMALITIES LETTER



OC000000005539876

Date Mailed: 11/08/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

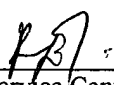
- The statutory basic filing fee is missing.
Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English.
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- Because your specification was filed in a language other than English, the Office was unable to determine the number of claims submitted. Additional claim fees may be due once the number of claims can be determined.

- The balance due by applicant is \$ 950.

11/22/2000 AGDITON 00000008 09641570

01 FC:201	355.00 OP
02 FC:205	65.00 OP
03 FC:203	189.00 OP
04 FC:139	130.00 OP

*A copy of this notice **MUST** be returned with the reply.*


Customer Service Center
Initial Patent Examination Division (703) 308-1202

COPY